



Filing ID #10024106

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Harry Arora
Status: Congressional Candidate
State/District: CT04

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 07/11/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Alerian MLP ETF (AMLPE) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	None
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]	DC	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		None	Capital Gains	\$5,001 - \$15,000	None
Amgen Inc. (AMGN) [ST]	DC	\$1,001 - \$15,000	Capital Gains	\$1 - \$200	\$1 - \$200
Anadarko Petroleum Corporation (APC) [ST]	JT	\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1 - \$200
Apple Inc Note Call Make Whole 3.85% 08/04/2046 [CS]	SP	\$100,001 - \$250,000	None		
DESCRIPTION: Note					
Apple Inc. (AAPL) [ST]	DC	\$1 - \$1,000	Dividends	\$1,001 - \$2,500	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Apple Inc. (AAPL) [ST]		None	Capital Gains	None	\$5,001 - \$15,000
ARCIM Equity Partners, Commodity Trading [OL]		\$1,000,001 - \$5,000,000	Partnership	None	None
LOCATION: Greenwich, CT, US DESCRIPTION: Partnership					
ARCIM GP, Commodity Trading [OL]		\$250,001 - \$500,000	Partnership	None	None
LOCATION: Greenwich, CT, US DESCRIPTION: Partnership					
ARCIM IM Corporation, Investment Advisory [OL]		\$15,001 - \$50,000	Partnership	None	\$1,000,001 - \$5,000,000
LOCATION: Greenwich, CT, US DESCRIPTION: Partnership					
ARCIM UK, Investment Manager Holding Co. [OL]		\$1,000,001 - \$5,000,000	Partnership	None	\$1,000,001 - \$5,000,000
LOCATION: London, GB DESCRIPTION: Partnership					
Bank of America [BA]	JT	\$100,001 - \$250,000	Interest	\$201 - \$1,000	\$201 - \$1,000
Bank of America Corporation (BAC) [ST]		None	Dividends	None	\$15,001 - \$50,000
Bank of America Corporation (BAC) [ST]	JT	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Blackrock MuniHoldings New Jersey Quality Fund, Inc. (MUJ) [ST]	JT	\$100,001 - \$250,000	Dividends	None	\$5,001 - \$15,000
Booking Holdings Inc. (BKNG) [ST]	JT	\$50,001 - \$100,000	Capital Gains	\$5,001 - \$15,000	None
Call Wlmt \$90 EXP 12/15/2017 WBT171215 3228209RL [CS]		None	Capital Gains	None	\$100,001 - \$1,000,000
DESCRIPTION: Note					
Capital One Financial Corporation (COF) [ST]		None	Capital Gains,	\$5,001 -	\$1,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
			Dividends	\$15,000	\$2,500
Cars.com Inc. (CARS) [ST]	DC	\$1 - \$1,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$201 - \$1,000
Cash [BA]		\$50,001 - \$100,000	None		
Cash [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	\$201 - \$1,000
Cash [BA]	JT	\$1 - \$1,000	Interest	\$1 - \$200	\$1 - \$200
Celgene Corporation (CELG) [ST]	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$201 - \$1,000
Citigroup, Inc. (C) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	\$201 - \$1,000
CVS Health Corp Note Call Make Whole 3.70% 03/09/2023 [CS] DESCRIPTION: Note	SP	\$15,001 - \$50,000	None		
CVS Health Corporation (CVS) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500	\$1,001 - \$2,500
CVS Health Corporation (CVS) [ST]	DC	\$1 - \$1,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$201 - \$1,000
Delta Air Lines, Inc. (DAL) [ST]	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1,001 - \$2,500	\$1 - \$200
Eighteen 18 Lake LLC, Real Estate Investment [OL] LOCATION: Greenwich, CT, US DESCRIPTION: Partnership	JT	\$1,000,001 - \$5,000,000	Partnership	None	None
EOG Resources, Inc. (EOG) [ST]	JT	\$50,001 - \$100,000	Dividends	\$1 - \$200	\$1 - \$200
FED US TR CS RES INC [BA]		\$250,001 - \$500,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Fidelity Government Cash Reserves [BA]		\$1 - \$1,000	Tax-Deferred		
Fidelity Government Cash Reserves [BA]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Government Cash Reserves [BA]		\$1,001 - \$15,000	Tax-Deferred		
Fidelity Government Cash Reserves [BA]	SP	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500	\$5,001 - \$15,000
Fidelity Government Money Market [BA]	DC	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Fidelity Government Money Market [BA]	DC	\$15,001 - \$50,000	Interest	\$201 - \$1,000	\$1,001 - \$2,500
Fidelity Treasury Only Money Market FD [BA]	DC	\$1,001 - \$15,000	Capital Gains	None	\$5,001 - \$15,000
Fidelity Treasury Only Money Market FD [BA]		\$1 - \$1,000	Tax-Deferred		
FIMM Treasury Only Portfolio: CL I [GS]		\$1,001 - \$15,000	Dividends	\$1 - \$200	\$1 - \$200
Freeport McMoran Inc Note Call Make Whole 5.45% 03/15/2043 [CS] DESCRIPTION: Note	SP	\$50,001 - \$100,000	None		
General Motors Company (GM) [ST]		None	Capital Gains, Dividends	\$15,001 - \$50,000	\$5,001 - \$15,000
Gilead Sciences, Inc. (GILD) [ST]	DC	\$1 - \$1,000	Dividends	None	\$1 - \$200
HSBC India [BA]	JT	\$1,001 - \$15,000	None		
Humana Inc. (HUM) [ST]	JT	\$50,001 - \$100,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$1 - \$200
Intercontinental Exchange Inc. (ICE) [ST]		None	Capital Gains	\$15,001 - \$50,000	None
Invesco QQQ Trust, Series 1 (QQQ) [ST]	JT	\$500,001 - \$1,000,000	Dividends	\$1 - \$200	None
iShares 20+ Year Treasury Bond ETF (TLT) [ST]		\$15,001 - \$50,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
iShares iBoxx \$ High Yield Corporate Bond ETF (HYG) [ST]	SP	\$50,001 - \$100,000	Dividends	\$201 - \$1,000	None
iShares MSCI EAFE ETF (EFA) [ST]	DC	\$500,001 - \$1,000,000	Dividends	None	\$5,001 - \$15,000
iShares MSCI EAFE ETF (EFA) [ST]		\$15,001 - \$50,000	Tax-Deferred		
iShares MSCI EAFE ETF (EFA) [ST]		\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
iShares Nasdaq Biotechnology Index Fund (IBB) [ST]		\$100,001 - \$250,000	Dividends	\$1 - \$200	\$1 - \$200
iShares Nasdaq Biotechnology Index Fund (IBB) [ST]	DC	\$100,001 - \$250,000	Dividends	None	\$1,001 - \$2,500
iShares Russell 1000 ETF (IWB) [ST]	DC	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
iShares Russell 2000 ETF (IWM) [ST]	JT	\$15,001 - \$50,000	Dividends	\$1 - \$200	\$201 - \$1,000
Janus Henderson SG Global Quality Income ETF (SGQI) [ST]		None	Dividends	\$1,001 - \$2,500	\$5,001 - \$15,000
Kraft Heinz Foods CO Note Call Make Whole 5.20% 07/15/2045 [CS] DESCRIPTION: Note	SP	\$100,001 - \$250,000	None		
Limited Brands Inc Bond Call Make Whole 6.95% 03/01/2033 [CS] DESCRIPTION: Bond	JT	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	\$2,501 - \$5,000
LyondellBasell Industries NV Ordinary Shares Class A (LYB) [ST]	JT	\$100,001 - \$250,000	Capital Gains	\$5,001 - \$15,000	None
Macys Retail Hldgs Inc 2.875% 02/15/2023 GTDSR NT [CS] DESCRIPTION: Note	SP	\$50,001 - \$100,000	None		
Macys Retail Hldgs Inc 5.125% 01/15/2045 SR NT ISIN	SP	\$15,001 - \$50,000	None		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
#US55616XAG25 [CS]					
DESCRIPTION: Note					
Microsoft Corp Bond Call Make Whole 3.75% 05/01/2043 ISIN #US594918AU80 S [CS]	SP	\$50,001 - \$100,000	None		
DESCRIPTION: Bond					
Microsoft Corp Note Call Make Whole 2.70% 02/12/2025 [CS]	JT	\$100,001 - \$250,000	Interest	None	\$2,501 - \$5,000
DESCRIPTION: Note					
Minnesota Life Insurance Whole Life [WU]		\$50,001 - \$100,000	Tax-Deferred		
Morgan Stanley Emerging Markets Domestic Debt Fund, Inc. Morgan Stanley Emerging Markets Domestic De (EDD) [ST]		None	Dividends	\$5,001 - \$15,000	None
Morgan Stanley Emerging Markets Domestic Debt Fund, Inc. Morgan Stanley Emerging Markets Domestic De (EDD) [ST]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
Morgan Stanley Emerging Markets Domestic Debt Fund, Inc. Morgan Stanley Emerging Markets Domestic De (EDD) [ST]	JT	\$100,001 - \$250,000	None		
NH Aggressive Growth [5P]		\$50,001 - \$100,000	Tax-Deferred		
LOCATION: NH					
NH Aggressive Growth [5P]		\$15,001 - \$50,000	Tax-Deferred		
LOCATION: NH					
NH Aggressive Growth [5P]		\$1,001 - \$15,000	Tax-Deferred		
LOCATION: NH					
Nordstrom, Inc. (JWN) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
Northlander LLC, Investment Manager (Spouse is COO) [OL]		\$500,001 - \$1,000,000	Partnership	None	\$100,001 - \$1,000,000
LOCATION: London, GB					
DESCRIPTION: Partnership					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Northwestern Mutual [WU]		\$50,001 - \$100,000	Tax-Deferred		
Nuveen Connecticut Quality Municipal Income Fund (NTC) [ST]	JT	\$100,001 - \$250,000	None		
PayPal Holdings, Inc. (PYPL) [ST]		None	Capital Gains	\$15,001 - \$50,000	None
Pepsico, Inc. (PEP) [ST]	DC	\$1 - \$1,000	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
PIMCO Long Term Credit Fund Instl [MF]	JT	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500	None
PIMCO Long Term Credit Fund Instl [MF]		\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000	\$15,001 - \$50,000
ProLogis, Inc. (PLD) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
Raytheon Company (RTN) [ST]	DC	\$1,001 - \$15,000	None		
Royce Value Trust, Inc. (RVT) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Southwest Airlines Company (LUV) [ST]	DC	\$1 - \$1,000	None		
SPDR S&P 500 (SPY) [ST]	JT	\$100,001 - \$250,000	None		
SPDR S&P 500 (SPY) [ST]		\$100,001 - \$250,000	Tax-Deferred		
SPDR S&P 500 (SPY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
SPDR S&P 500 (SPY) [ST]		\$100,001 - \$250,000	Tax-Deferred		
SPDR S&P 500 (SPY) [ST]		\$100,001 - \$250,000	Tax-Deferred		
SPDR S&P 500 (SPY) [ST]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
SPDR S&P 500 (SPY) [ST]		None	Capital Gains	None	\$5,001 - \$15,000
SPDR S&P 500 (SPY) [ST]	DC	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
SPDR S&P 500 (SPY) [ST]	JT	\$1,000,001 - \$5,000,000	Dividends	\$5,001 - \$15,000	\$5,001 - \$15,000
SPDR S&P Retail ETF (XRT) [ST]	DC	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500	\$2,501 - \$5,000
SPDR Select Sector Fund - Energy Select Sector (XLE) [ST]	DC	\$500,001 - \$1,000,000	Dividends	\$201 - \$1,000	\$1,001 - \$2,500
Sprint Corp Note Call Make Whole 7.875% 09/15/2023 [CS]		\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	\$2,501 - \$5,000
DESCRIPTION: Note					
Sprint Nextel Corp Note Call Make Whole 6.00% 11/15/2022 [CS]	SP	\$1,001 - \$15,000	None		
DESCRIPTION: Note					
Sprint Nextel Corp Note Call Make Whole 6.00% 11/15/2022 [CS]	SP	\$1,001 - \$15,000	None		
DESCRIPTION: Note					
T Rowe Price Blue Chip Growth Inc [MF]	JT	\$15,001 - \$50,000	Dividends	None	\$1 - \$200
TEGNA Inc (TGNA) [ST]	DC	\$1 - \$1,000	Capital Gains, Dividends	\$1 - \$200	\$1 - \$200
Telecom Italia Cap Note Call Make Whole 6.375% 11/15/2033 ISIN #US87927VA58 [CS]	JT	\$1,001 - \$15,000	Interest	None	\$201 - \$1,000
DESCRIPTION: Note					
TENET Healthcare Corp Note 80125% 04/01/2022 Call Make Whole [CS]		\$1,001 - \$15,000	Tax-Deferred		
DESCRIPTION: Note					
TEVA Pharmaceutical FIN NETH NOTE 3.15% 10/01/2026 Call Make Whole [CS]	JT	\$50,001 - \$100,000	None		
DESCRIPTION: Note					

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
The Kraft Heinz Company (KHC) [ST]	DC	\$1 - \$1,000	Capital Gains	\$5,001 - \$15,000	None
Twitter, Inc. (TWTR) [ST]		None	Capital Gains	\$15,001 - \$50,000	None
U.S. Global Jets ETF (JETS) [ST]	JT	\$100,001 - \$250,000	None		
Under Armour, Inc. Class C (UA) [ST]	DC	\$1 - \$1,000	Capital Gains, Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
United Parcel Service, Inc. (UPS) [ST]		None	Capital Gains, Dividends	\$2,501 - \$5,000	\$1,001 - \$2,500
United States Treas Note 2.25% 02/29/2020 [CS]	SP	\$50,001 - \$100,000	None		
DESCRIPTION: Note					
United States Treas Note 2.25% 02/29/2020 [CS]	SP	\$50,001 - \$100,000	None		
DESCRIPTION: Note					
United States Treas NTS Note 2.0% 07/31/2022 [CS]	JT	\$50,001 - \$100,000	Interest	\$201 - \$1,000	\$2,501 - \$5,000
DESCRIPTION: Note					
United Technologies Corporation (UTX) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	\$1,001 - \$2,500
UnitedHealth Group Incorporated Common Stock (UNH) [ST]		None	Capital Gains, Dividends	\$5,001 - \$15,000	\$1 - \$200
US Treasury Bill [GS]		\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000	\$15,001 - \$50,000
Vanguard FTSE Emerging Markets ETF (VWO) [ST]		None	Capital Gains	None	\$15,001 - \$50,000
Vanguard FTSE Emerging Markets ETF (VWO) [ST]	JT	\$250,001 - \$500,000	Dividends	None	\$1,001 - \$2,500
Vanguard High Dividend Yield ETF (VYM) [ST]		None	Dividends	None	\$5,001 -

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
					\$15,000
Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]		None	Dividends	None	\$5,001 - \$15,000
Vanguard Intermediate-Term Corporate Bond ETF (VCIT) [ST]	JT	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000	None
Vanguard Small-Cap ETF (VB) [ST]	DC	\$250,001 - \$500,000	Dividends	None	\$201 - \$1,000
Vanguard Small-Cap ETF (VB) [ST]		\$15,001 - \$50,000	Tax-Deferred		
Verizon Communications Inc. (VZ) [ST]		None	Capital Gains, Dividends	\$2,501 - \$5,000	\$2,501 - \$5,000
Walmart Inc. (WMT) [ST]	DC	\$1,001 - \$15,000	Dividends	\$201 - \$1,000	\$201 - \$1,000
Walt Disney Company (DIS) [ST]	DC	\$1 - \$1,000	None		
Weatherford INTL LTD Note Call Make Whole 7.75% 06/15/2021 [CS]	SP	\$50,001 - \$100,000	None		
DESCRIPTION: Note					
Williams Companies, Inc. (WMB) [ST]		None	Capital Gains	None	\$5,001 - \$15,000

* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Arcim Advisors, LLC Greenwich, CT	Salary	\$16,667.00	\$49,999.00
Northlander Commodity Advisors, Greenwich CT	Spouse Salary	\$443,750.00	\$491,425.00

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	Dovenmuehle Mortgage Inc	August 2003	Mortgage	\$500,001 - \$1,000,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Managing Member	Arcim Advisors, LLC
Managing Member	Arora IM Corporation
Managing Member	Arcim UK

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Harry Arora , 07/11/2018